

[For immediate release]

**EBSHK Executive Director and CEO Dr. Li Bingtao appointed  
first Director of HKCSA's Risk Management and Compliance Committee**

**Hong Kong, September 23, 2019** — Everbright Sun Hung Kai Company Limited (“Everbright Sun Hung Kai” or the “Company”), with its foundation of 50 years in Hong Kong, has always been active in promoting the development of the financial industry in Hong Kong. On September 20, the Company participated in the official establishment ceremony of the Risk Management and Compliance Committee (the “Committee”) of the Hong Kong Chinese Securities Association (“HKCSA” or the “Association”). At the ceremony, Dr. Lin Yong, President of HKCSA announced the appointment of Dr. Li Bingtao, Vice President of the Association and Executive Director and CEO of Everbright Sun Hung Kai, as the first Director of the Committee. Dr. Lin Yong expressed his hope that the Committee would help elevate the industry’s risk management and compliance professionalism in a systematic approach.

In his speech at the event, Dr. Li Bingtao said, “For the very first time, the Association has set up a professional committee for a specific subject matter. This reflects the core issues that we are extensively concerned about. Business development and risk and compliance management are like spear and shield. Chief Risk Officers and Chief Compliance Officers of Chinese financial institutions assess and prevent business risks, and they are the shield holders of Chinese securities companies and banking institutions on their roads to internationalization. I hope with this exchange platform in place, discussions on risk management and compliance issues will be more effective among our members and the industry, and communications with the regulatory authorities across the border will be enhanced.

Moreover, Ms. Leung Fung-ye, Deputy Chief Executive Officer and Executive Director of the Securities and Futures Commission, delivered a speech and witnessed the signing of a memorandum of understanding (MoU) between the Association and the Hong Kong Securities and Investment Institute. The MoU aims to promote cooperation between the two parties as well as the professional development of the financial services industry in Hong Kong. The Chinese Securities Association of Hong Kong was set up in Hong Kong in May 2007 to strengthen collaboration among members of the securities business in Hong Kong and serve as a bridge between the industry and governments in the Mainland and Hong Kong. It represents members to share their views with relevant government departments and organizations.



Photo caption: Dr. Li Bingtao, Vice President of the Chinese Securities Association and Executive Director and CEO of Everbright Sun Hung Kai, is inaugurated as Director of the Association’s Risk Management and Compliance Committee.



Photo caption: Under the witness of Ms. Leung Fung-ye (right), Deputy Chief Executive Officer and Executive Director of the Securities and Futures Commission, Dr. Li Bingtao (middle) and Mr. David Chau (left), Member of the Board of Directors of the Hong Kong Securities and Investment Institute, signed a memorandum of understanding between HKCSA and HKSI.

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### **About Everbright Sun Hung Kai Company Limited**

Everbright Sun Hung Kai Company Limited (“EBSHKCL”) is a leading financial institution with four core businesses, Wealth Management and Brokerage, Corporate Finance and Capital Markets, Asset Management, Investment and Structured Financing. Operating under the Everbright Sun Hung Kai brand (“EBSHK”) as well as the EBSHK Direct and EBSHK Private sub-brands, EBSHKCL is an international business platform of Everbright Securities Company Limited (“Everbright Securities”, SSE: 601788, HKEX: 6178), and an affiliated company of Sun Hung Kai & Co. Limited (HKEX: 86), serving individual, corporate and institutional clients in Hong Kong, Macau, Mainland China and the U.K.

Backed by Everbright Securities and China Everbright Group member companies, coupled with its heritage in the financial industry beginning in 1969, EBSHK has emerged to be a full-fledged financial platform providing excellent cross-border and global financial services with Moody's "Baa3" long-term issuer and "Prime-3" short-term issuer credit ratings. EBSHKCL, through its subsidiaries, currently has over HK\$130 billion\* in assets under management, custody and/or advice. For more information, please visit [www.ebshk.com](http://www.ebshk.com).

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